

# ADISHAKTI LOHA AND ISPAT LIMITED

(FORMERLY KNOWN AS ADISHAKTI LOHA AND ISPAT PRIVATE LIMITED)

Regd. Office: Plot 3, SHOP 325, DDA C.CNTR, Aggarwal Plaza, Sec-14, Rohini New Delhi- 110085

Phone: 011-27860681, E-mail: info@adishaktiloha.com, CIN: L51909DL2015PLC275150

July21,2023

To,  
The Department of Corporate Relations  
**BSE Limited**  
P. J. Towers, Dalal Street,  
Fort, Mumbai-400 001

**Sub :** Submission of certificate pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) for the quarter ended 30<sup>th</sup> June , 2023.

**Security Code: 543377**  
**ISIN: INEOCWK01019**

Dear Sir/Madam,

This is with reference to the abovementioned subject, kindly find enclosed **Certificate under Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) for the quarter ended June 30 , 2023.**

You are requested to take the same on your record and oblige.

Thanking You,  
Yours truly

For Adishakti Loha and Ispat Limited

**Pawan Kumar Mittal**  
Director  
DIN:00749265

Encl: As Annexure

# ADISHAKTI LOHA AND ISPAT LIMITED

(FORMERLY KNOWN AS ADISHAKTI LOHA AND ISPAT PRIVATE LIMITED)

Regd. Office: Plot 3, SHOP 325, DDA C.CNTR, Aggarwal Plaza, Sec-14, Rohini New Delhi- 110085

Phone: 011-27860681, E-mail: info@adishaktiloha.com, CIN: L51909DL2015PLC275150

## COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED JUNE 30, 2023

*Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Pawan Kumar Mittal, Director of the company for the insider trading Regulations of Adishakti Loha and Ispat Limited (Company) and aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations) and I certify that:

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 1(One) number of events during the quarter ended June 2023 and has captured 1(One) number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: Nil

**For Adishakti Loha and Ispat Limited**

**Pawan Kumar Mittal**  
**Director**  
**DIN:00749265**

**Date:21.07.2023**

**Place: Delhi**